



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States: Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| 1                                       |               |                      |                         |                  |
|---|---------------|----------------------|-------------------------|------------------|
| APPLICATION NO.                         | FILING DATE   | FIRŞT NAMED INVENTOR | ATTORNEY DOCKET NO.     | CONFIRMATION NO. |
| 09/820,722                              | 03/30/2001    | Dale Tyson Roberts   | 1364.1001D2C            | 5204             |
| 21171 75                                | 90 12/24/2003 |                      | EXAMINER                |                  |
| STAAS & HALSEY LLP                      |               |                      | VU, VIET DUY            |                  |
| SÚITE 700<br>1201 NEW YORK AVENUE, N.W. |               |                      | ART UNIT                | PAPER NUMBER     |
| WASHINGTON, DC 20005                    |               |                      | 2154                    | / .              |
|   |               |                      | DATE MAILED: 12/24/2003 | , 4              |

Please find below and/or attached an Office communication concerning this application or proceeding.

|  |  | ma   |  |  |  |  |
|--|--|--|--|--|--|--|
|  | Application No.  | Applicant(s)   |  |  |  |  |
| i  | 09/820,722   | ROBERTS ET AL.   |  |  |  |  |
| Office Action Summary  | Examiner   | Art Unit   |  |  |  |  |
|  | Viet Vu  | 2154   |  |  |  |  |
| The MAILING DATE of this communication<br>Period for Reply   | appears on the cover she   | et with the correspondence address   |  |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REI THE MAILING DATE OF THIS COMMUNICATION  - Extensions of time may be available under the provisions of 37 CFR after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a  - If NO period for reply is specified above, the maximum statutory per  - Failure to reply within the set or extended period for reply will, by sta  - Any reply received by the Office later than three months after the may earmed patent term adjustment. See 37 CFR 1.704(b).  Status | N. thinspace 1.136(a). In no event, however, reply within the statutory minimum ind will apply and will expire SIX (6 state, cause the application to become   | may a reply be timely filed  of thirty (30) days will be considered timely.  MONTHS from the mailing date of this communication.  ome ABANDONED (35 U.S.C. § 133).   |  |  |  |  |
| 1) Responsive to communication(s) filed on 30  | <u> March 2001</u> .   |  |  |  |  |  |
| 2a) This action is <b>FINAL</b> . 2b) ⊠ TI   | his action is non-final.   |  |  |  |  |  |
|  | Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.                                |  |  |  |  |  |
| Disposition of Claims  |  |  |  |  |  |  |
| <ul> <li>4)  Claim(s) 2-60 is/are pending in the application 4a) Of the above claim(s) is/are without 5)  Claim(s) is/are allowed.</li> <li>6)  Claim(s) 2-60 is/are rejected.</li> <li>7)  Claim(s) is/are objected to.</li> <li>8)  Claim(s) are subject to restriction and</li> </ul>   | drawn from consideration   |  |  |  |  |  |
| Application Papers   |  |  |  |  |  |  |
| 9)☐ The specification is objected to by the Exam   |  |  |  |  |  |  |
|  | 10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.  |  |  |  |  |  |
|  | Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  |  |  |  |  |  |
| Replacement drawing sheet(s) including the con   | •  | ***  |  |  |  |  |
| 11) The oath or declaration is objected to by the  | Examiner. Note the atta  | ached Office Action of form PTO-152.   |  |  |  |  |
| Priority under 35 U.S.C. §§ 119 and 120  | -'''h  | 0.0.0.440(-).(4)(6)  |  |  |  |  |
| 12) Acknowledgment is made of a claim for fore a) All b) Some * c) None of:  1. Certified copies of the priority documed.  2. Certified copies of the priority documed.  3. Copies of the certified copies of the property application from the International Bure * See the attached detailed Office action for a since a specific reference was included in the 37 CFR 1.78.  a) The translation of the foreign language 14) Acknowledgment is made of a claim for dome reference was included in the first sentence of the foreign language 14.   | ents have been received ents have been received oriority documents have reau (PCT Rule 17.2(a)). list of the certified copies estic priority under 35 U. a first sentence of the spenticular provisional application restic priority under 35 U. | d. d in Application No been received in this National Stage s not received. S.C. § 119(e) (to a provisional application) ecification or in an Application Data Sheet. has been received. S.C. §§ 120 and/or 121 since a specific |  |  |  |  |
| Attachment(s)  |  |  |  |  |  |  |
| 1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449) Paper No(s)  | 5) 🗌 Notic   | rview Summary (PTO-413) Paper No(s) ce of Informal Patent Application (PTO-152) er:  |  |  |  |  |

Application/Control Number: 09/820,722

Art Unit: 2154

## Non-Art Rejections:

1. The following non-statutory double patenting rejection is based on a judicially created doctrine grounded in public policy so as to prevent the unjustified or improper timewise extension of the right to exclude granted by a patent. In re Sarett, 327 F2.d 1005, 140 USPQ 474 (CCPA 1964); In re Schneller, 397 F2.d 350, 158 USPQ 210 (CCPA 1968); In re White, 405 F2.d 904, 160 USPQ 644 (CCPA 1969); In re Thorington, 418 F2.d 528, 163 USPQ 644 (CCPA 1969); In re Vogel, 422 F2.d 438, 164 USPQ 619 (CCPA 1970); In re Van Ornam, 686 F2.d 937, 214 USPQ 761 (CCPA 1970); In re Longi, 759 F2.d 887, 225 USPQ 645 (Fed. Cir. 1985); and In re Goodman, 29 USPQ 2d 2010 (Fed. Cir. 1993).

A timely filed terminal disclaimer in compliance with 37 C.F.R. 1.321(b) would overcome an actual or provisional rejection on this ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 C.F.R. 1.78(d).

2. Claims 2-60 are rejected under the judicially created doctrine of double patenting as being unpatentable over prior U.S. Patent No. 6,230,192.

Application/Control Number: 09/820,722

Art Unit: 2154

Although the conflicting claims are not identical, they are not patentable distinct from each other because claims 1-79 in the patent include all limitations recited in the present claims.

## Art Rejections:

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (e) the invention was described in-
- (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effect under this subsection of a national application published under section 122(b) only if the international application designating the United States was published under Article 21(2)(a) of such treaty in the English language; or
- (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that a patent shall not be deemed filed in the United States for the purposes of this subsection based on the filing of an international application filed under the treaty defined in section 351(a).
- 4. Claims 2-60 are rejected under 35 U.S.C. 102(e) as being clearly anticipated by Portuesi, U.S. pat. No. 5,774,666.

Application/Control Number: 09/820,722

Art Unit: 2154

<u>Portuesi</u> discloses system and method for obtaining complimentary content associated with a recording from a remote device comprising:

- a) a playback unit for accessing/playing the recording (see col
   4, lines 24-34),
- b) means for determining a plurality of identifiers, i.e., URLs, from information associated with the recording (col 5, lines 20-31 and col 9, lines 22-30),
- c) a communication unit for automatically downloading the complimentary content, e.g., web content, from the remote device, e.g., web server, over a network using the identifiers in response to user's activation (col 9, lines 31-37),
- d) means for processing/displaying the complimentary content in concurrent with the recording content (see col 9, lines 37-38).

Per claims 45-60, it is noted that a web page stored in a database server usually comprises additional related links to other web pages.

- 5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person

having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

- 6. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).
- 7. Claims 2-60 are further rejected under 35 U.S.C. 103(a) as being unpatentable over Hidary et al, U.S. pat. No. 5,774,664.

Hidary discloses system and method for associating local and remote data on a computer comprising:

- a) a computer terminal for accessing/playing an audio/video program (see col 4, lines 28-39),
- b) a decoder for determining a plurality of identifiers, i.e., URLs, from information associated with the program (col 5, lines 34-46 and col 6, lines 32-41),
- c) a communication unit for automatically downloading the complimentary content, e.g., web content, from the remote device, e.g., web server, over a network using the identifiers in response to some user's interaction (col 7, lines 11-29),
- d) means for processing/displaying the complimentary content in concurrent with the program (see col 7, lines 60-65).

Hidary also teaches playing the program from a recording medium (see col 9, lines 3 - col 10, line 2).

Hidary does not explicitly show a playback unit for playing program on a recording medium. An official notice is taken that such playback unit is well known in the art.

It would have been obvious to one of ordinary skill in the art at the time the invention was made to utilize a suitable conventional playback unit for the program encoded in a specific format because it would have enabled user to use/play specific encoded recording or program.

Per claims 35-36 and 39-40, it would have been further obvious to one skilled in the art to recognize that the additional complimentary data would have been any information related to the recording/program including album titles and song names.

Per claims 45-60, it is further noted that a web page stored in the database server usually comprises additional related links to other web pages.

## Conclusion:

8. The references cited by the examiner on PTO-892 but not relied upon are considered pertinent to applicant's disclosure.

Art Unit: 2154

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Viet Vu whose telephone number is 703-305-9597. The examiner can normally be reached on Monday through Thursday from  $8:00\,\mathrm{am}$  to  $4:00\,\mathrm{pm}$ .

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Meng-Ai An, can be reached on (703) 305-9678.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is 703-305-9600. The Group fax number is 703-872-9306.

VIET D. VU PRIMARY EXAMINER

Tuhom

Art Unit 2154 12/16/03